

Docket No.: WEBSEN.034A

Customer No. 20,995

#4  
5/18/04  
A.W.

### INFORMATION DISCLOSURE STATEMENT

Applicant : Kester, et al.  
App. No. : 10/017,750  
Filed : December 7, 2001  
For : SYSTEM AND METHOD FOR  
ADAPTING AN INTERNET FILTER  
Examiner : Unknown  
Group Art Unit : 2171

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APR 22 2004

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing thirty one (31) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

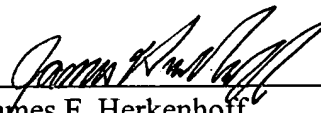
Respectfully submitted,

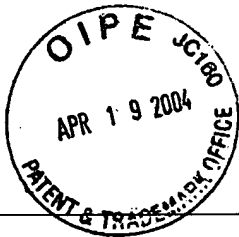
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: \_\_\_\_\_

4/14/04

By: \_\_\_\_\_

  
James F. Herkenhoff  
Registration No. 51,241  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



2171

PATENT

Case Docket No. WEBSSEN.034A

Date: April 14, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kester, et al.  
Appl. No. : 10/017,750  
Filed : December 7, 2001  
For : SYSTEM AND METHOD FOR  
ADAPTING AN INTERNET  
FILTER  
Examiner : Unknown  
Group Art Unit : 2171

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 14, 2004

(Date)

  
James F. Herkenhoff, Reg. No. 51,241

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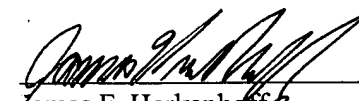
TRANSMITTAL LETTER

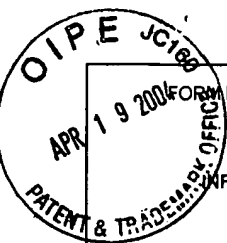
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with thirty one (31) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
James F. Herkenhoff  
Registration No. 51,241  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. WEBSEN.034A	APPLICATION NO. 10/017,750
	APPLICANT Kester, et al.	
	FILING DATE December 7, 2001	GROUP 2171

## U.S. PATENT DOCUMENTS

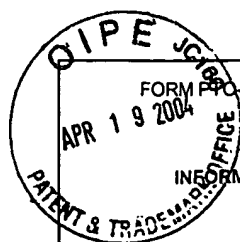
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,423,414	12/27/83	Bryant et al.			
	2	4,941,084	07/10/90	Terada et al.			
	3	5,493,692	2/20/96	Theimer, et al.			
	4	5,548,729	08/20/96	Akiyoshi et al.			
	5	5,555,376	9/10/96	Theimer, et al.			
	6	5,682,325	10/28/97	Lightfoot, et al.			
	7	5,696,486	12/9/97	Poliquin, et al.			
	8	5,696,898	12/9/97	Baker, et al.			
	9	5,774,668	6/30/98	Choquier, et al.			
	10	5,787,427	7/28/98	Benantar, et al			
	11	5,832,228	11/3/98	Holden, et al.			
	12	5,832,503	11/3/98	Malik, et al.			
	13	5,848,233	12/08/98	Radia et al.			
	14	5,850,523	12/15/98	Gretta, Jr.			
	15	5,892,905	4/6/99	Brandt, et al.			
	16	5,896,502	4/20/99	Shieh, et al.			
	17	5,941,947	8/24/99	Brown, et al.			
	18	5,958,015	9/28/99	Dascalu			
	19	5,991,807	11/23/99	Schmidt, et al.			
	20	6,052,723	4/18/00	Ginn			
	21	6,065,059	5/16/00	Shieh, et al.			
	22	6,182,118 B1	1/30/01	Finney, et al.			
	23	6,338,088 B1	1/8/02	Waters, et al.			
	24	6,456,306 B1	9/24/02	Chin, et al.			

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
WEBSEN.034AAPPLICATION NO.  
10/017,750INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Kester, et al.FILING DATE  
December 7, 2001GROUP  
2171

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	25	0 658 837 A2	12/13/94	EPO				
	26	WO 92/19054	10/29/92	PCT				
	27	WO 96/42041	12/27/96	PCT				

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EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	28	Sandhu, et al., Access Control: Principles and Practice, IEEE Communications Magazine, pp. 40-48, September 1994
	29	C. L. Schuba and E. H. Spafford. Countering abuse of name-based authentication. In 22nd Annual Telecommunications Policy Research Conference, 21 pp., 1996
	30	SurfControl plc, SuperScout Web Filter Reviewer's Guide, 36 pp., 2002
	31	Yiaelis, et al., Role-Based Security for Distributed Object Systems, Proceedings of the IEEE Fifty Workshops on Enabling Technology: Infrastructure for Collaborative Enterprises (WET ICE '96), 6 pp., June 19-21, 1996

S:\DOCS\JFH\JFH-4367.DOC  
040804

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.